

**PATENT**

Attorney's Docket No. _____

Applicant or Patentee: John T. Caprio and Tine B. Valentincic

Serial or Patent No.: 0 / _____

Filed or Issued: _____

For: Amino Acid Modified Food For Ictalurid Catfish and Fish With Similar Feeding Behavior**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b))—INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled _____

described in

- ☒ the specification filed herewith.
- ☐ application serial no. 0 / _____, filed _____.
- ☐ patent no. _____, issued _____.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization
- ☒ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME Louisiana State UniversityADDRESS 3990 West Lakeshore Dr., Baton Rouge, LA 70803

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

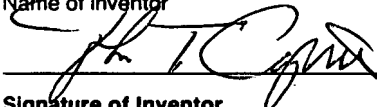
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

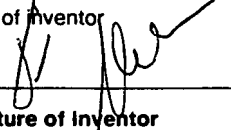
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of pay-

ing, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

John T. Caprio
Name of inventor

Signature of Inventor

Date 7/29/91

Tine B. Valentincic
Name of inventor

Signature of Inventor

Date 7/29/91

Name of inventor

Signature of Inventor

Date _____

(Small Entity-Independent Inventor [7-1]—page 2 of 2)



IN THE UNITED STATES OF AMERICA

PATENT AND TRADEMARK OFFICE

APPLICANT: John T. Caprio and Tine B. Valentincic

TITLE: Amino Acid Modified Food for Ictalurid Catfish
and Fish With Similar Feeding Behavior

DOCKET NO.: 6451/042

COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf
of the nonprofit organization identified below:

The Board of Directors of
Louisiana State University
and Agricultural and Mechanical College
Baton Rouge, Louisiana

I hereby declare that the above identified nonprofit organization
qualifies as a nonprofit organization as defined in 37 CFR 1.9(e)
for purposes of paying reduced fees under section 41 (a) and (b)
of Title 35, United States Code, with regard to the invention
entitled Biofeedback Activated Orthosis for Footdrop
Rehabilitation described in the specification filed herewith.

I hereby declare that rights under contract or law have been
conveyed to and remain with the nonprofit organization with
regard to the above-identified invention.

I acknowledge the duty to file, in this application or patent,
notification of any change in status resulting in loss of
entitlement to small entity status prior to paying, or at the
time of paying, the earliest of the issue fee or any maintenance
fee due after the date on which status as a small entity is no
longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Mr. Donald W. Pennington

TITLE: Director, Office of Technology Transfer

ADDRESS: 3990 West Lakeshore Drive, Baton Rouge, Louisiana 70803

SIGNATURE



DATE

